

## BrokerCheck Report

### Erkenda Robinson

CRD# 5739910

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Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our [investor alert](#) on imposters.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**Erkenda Robinson**

CRD# 5739910

This broker is not currently registered.

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications**

**This broker is not currently registered.**

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

**Registration History**

**This broker was previously registered with the following securities firm(s):**

- B RAYMOND JAMES FINANCIAL SERVICES, INC.**  
CRD# 6694  
OMAHA, NE  
05/2020 - 12/2022
- B RAYMOND JAMES FINANCIAL SERVICES, INC.**  
CRD# 6694  
OMAHA, NE  
06/2015 - 06/2018
- B FIRST NATIONAL CAPITAL MARKETS**  
CRD# 115920  
OMAHA, NE  
07/2014 - 06/2015

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

**Investment Adviser Representative Information**

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at

<https://www.adviserinfo.sec.gov>

## Broker Qualifications



### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	06/07/2018
<b>B</b> General Securities Representative Examination	Series 7	01/20/2010

### State Securities Law Exams

Exam	Category	Date
<b>B IA</b> Uniform Combined State Law Examination	Series 66	03/29/2010

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).



## Broker Qualifications

## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 05/2020 - 12/2022	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	OMAHA, NE
<b>B</b> 06/2015 - 06/2018	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	OMAHA, NE
<b>B</b> 07/2014 - 06/2015	FIRST NATIONAL CAPITAL MARKETS	115920	OMAHA, NE
<b>B</b> 06/2010 - 07/2013	FIRST NATIONAL CAPITAL MARKETS	115920	OMAHA, NE
<b>B</b> 01/2010 - 06/2010	PRIMEVEST FINANCIAL SERVICES, INC.	15340	OMAHA, NE

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
05/2020 - Present	Raymond James Financial Services Advisors, Inc.	Investment Adviser	Y	Omaha, NE, United States
05/2020 - Present	Raymond James Financial Services, Inc.	Financial Advisor	Y	Omaha, NE, United States
07/2014 - Present	First National Bank of Omaha DBA First Investments and Planning	DBA	N	Omaha, NE, United States
10/2005 - Present	First National Bank of Omaha	Employee	Y	OMAHA, NE, United States
06/2015 - 06/2018	Raymond James Financial Services Advisors, Inc.	Investment Adviser	Y	OMAHA, NE, United States
06/2015 - 06/2018	Raymond James Financial Services, Inc.	Financial Advisor	Y	OMAHA, NE, United States
07/2014 - 06/2015	First National Capital Markets	Financial Advisor	Y	OMAHA, NE, United States



## Registration and Employment History

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1) First National Bank of Omaha DBA First Investments and Planning; 14010 FNB Parkway Ste 200, Omaha, NE; not investment related; started 07/2014; 0 hrs mo devoted; 0 hrs devoted during trading. DBA.

2) First National Bank of Omaha; employee; 14010 FNB Parkway Ste 200, Omaha, NE; investment related; started 07/2014; 140 hrs mo devoted; 130 hrs devoted during trading. supporting financial advisors by preparing financial plans.

3.)Name of Business : First Investments & Planning

Address: 14010 FNB Pkwy Ste 200,Omaha, NE

Nature of the Business: Support Company/DBA (Non-Owner)

Position/Title: Support Company/DBA (Non-Owner)

Investment Related:No

Start Date: 05/14/2020

Hours per month devoted to this business: 100

Hours per month devoted to this business during trading hours: 100

Description of duties: Sales Assistant

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## End of Report



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